

# Factors Affecting Science Communication

TECHNICAL REPORT PREPARED FOR

The Royal Society Research Councils UK The Wellcome Trust

February 2006 05/13



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#### 1 INTRODUCTION

This report serves as a record of the conduct of the quantitative survey of scientists and engineers based in UK universities. It sets out the sampling strategies, the construction of the sampling frames, the conduct of the survey, the response rate and the weighting strategy.

Appendices provide copies of all the supporting documentation, including letters to universities inviting them to take part and a copy of the questionnaire.

# 1.1 Timing

The project took place between April 2005 and February 2006. Fieldwork was conducted between 9 September and 14 November 2005.



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# **2 University Sample**

# 2.1 Defining the universe of UK HEIs

The universe for this study was defined as all UK higher education institutions (HEIs) with at least 50 staff recorded by the Higher Education Statistics Agency HESA as having a scientific or engineering research component to their job. Starting with the full list of UK HEIs the eligible universe was defined as follows.

# 2.1.1 Relevant disciplines

We began by identifying from the HESA data a list of relevant 'cost codes'. Data on the number of employees in all UK HEIs in the cost codes thought to be relevant to the study (listed below) was requested from HESA.

#### Medicine, Dentistry and Health

- **01** Clinical medicine
- 02 Clinical dentistry
- **04** Anatomy & physiology
- 07 Psychology & behavioural sciences
- **08** Pharmacy
- 09 Pharmacology

#### **Agriculture, Forestry and Veterinary Science**

- 03 Veterinary science
- 13 Agriculture & forestry

#### **Biological, Mathematical and Physical Sciences**

- 10 Biosciences
- 11 Chemistry
- 12 Physics
- 14 Earth, marine & environmental sciences
- **15** General sciences
- **24** Mathematics
- 25 Information technology & systems sciences

#### **Engineering and Technology**

- 16 General engineering
- 17 Chemical engineering
- 18 Mineral, metallurgy & materials engineering
- 19 Civil engineering
- 20 Electrical, electronic & computer engineering
- 21 Mechanical, aero & production engineering
- 22 Other technologies
- 39 Computer software engineering



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#### 2.1.2 Relevant staff

HESA classifies staff into four groups: teaching only, research only, research and teaching and neither teaching nor research. It was decided to include only those whose contracts included at least some element of research. Subsequent analysis was therefore confined to these two categories of staff.

#### 2.1.3 Relevant HEIs

HEIs were then listed in order of the total number of research only and research and teaching staff in the relevant disciplines. Those institutions with less than 50 such staff were then excluded. This left a total universe of 111 HEIs (or 110 as the University of Manchester had joined with UMIST by the time of the study). These institutions are listed below:

University College London Imperial College of Science, Technology & Medicine The University of Cambridge The University of Oxford King's College London The University of Edinburgh University of Manchester The University of Bristol The University of Glasgow The University of Leeds The University of Birmingham The University of Sheffield The University of Nottingham The University of Southampton The University of Newcastle-upon-Tyne The University of Liverpool

Queen Mary and Westfield College The University of Aberdeen The University of Leicester The Queen's University of Belfast Cardiff University

The University of Dundee

The University of Manchester Institute of Science & Technology
The University of Strathclyde

University of Wales College of Medicine The University of Warwick

University of Ulster Loughborough University The University of Reading The University of York

Cranfield University
University of Durham

St George's Hospital Medical School

The University of Surrey
The University of Bath

The Institute of Cancer Research The University of Plymouth The University of East Anglia The University of Sussex London School of Hygiene & Tropical Medicine The Open University Heriot-Watt University

The Nottingham Trent University
The Manchester Metropolitan University

The University of St Andrews
The University of Northumbria
at Newcastle

Liverpool John Moores University The University of Lancaster

The University of Portsmouth
The University of Exeter

The University of Central Lancashire

The University of Greenwich The University of Hull Sheffield Hallam University University of Wales, Bangor

University of Hertfordshire

Brunel University

The University of Westminster Glasgow Caledonian University The University of Bradford

Napier University
University of the
West of England, Bristol
0052 University of Central
England in Birmingham
De Montfort University

City University
Royal Holloway and
Bedford New College

Coventry University

Kingston University
University of Glamorgan

Aston University
The University of Kent
The University of East London
The University of Brighton
The University of Teesside

University of Wales, Swansea

Staffordshire University

The University of Salford Leeds Metropolitan University

Birkbeck College

The University of Essex
The University of Huddersfield

The University of Keele

Anglia Polytechnic University London South Bank University

The Robert Gordon University
The University of Wolverhampton

The University of Sunderland University of Wales, Aberystwyth

Southampton Institute
Oxford Brookes University
The University of Stirling
The Royal Veterinary College
Bournemouth University
University of Abertay Dundee

Middlesex University Scottish Agricultural College

University of Derby
The University of Paisley

Bolton Institute of Higher Education

The School of Pharmacy University College Northampton

University of Luton
The University of Lincoln
London School of Economics
and Political Science
Buckinghamshire Chilterns

Buckinghamshire Chilterns University College

University of Wales Institute, Cardiff

University College Chester Goldsmiths College

The North-East Wales Institute of Higher Education

Liverpool Hope University College University of Gloucestershire

This list constitutes the universe of HEIs from which the sample was drawn.



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# 2.2 Sampling HEIs

It was decided that in order to ensure a widely dispersed sample and to mirror the 2000 study funded by The Wellcome Trust and the Office of Science and Technology, conducted by MORI that 66 HEIs should be selected for inclusion in the study. However, once the HESA data had been analysed it became apparent that it would be most appropriate in terms of sampling intervals to select 67.

In order to draw the sample of HEIs, we stratified the list by size (number of eligible staff in relevant disciplines and who were classified as either research only, or research and teaching staff) into 3 bands.

Band 1: >700 to select 24 out of 24 HEIs (100%) Band 2: 350-699 to select 11 out of 22 HEIs (50%) <350 to select 32 out of 64 HEIs (50%) Band 3:

We then further stratified Bands 2 and 3 by the twelve geographic regions of the UK and then by the percentage of all research staff in the eligible disciplines classified as conducting bio/clinical science research.

Once stratified, all institutions in Band 1 were selected. In Bands 2 and 3, every alternate institution was selected beginning with the first.

# 2.2.1 The sample

Using the above methodology, the following institutions were selected:

University College London

Imperial College of Science, Technology & Medicine

The University of Cambridge

The University of Oxford

University of Manchester + UMIST

King's College London

The University of Edinburgh

The University of Bristol

The University of Glasgow

The University of Leeds

The University of Birmingham

The University of Sheffield

The University of Nottingham

The University of Southampton

The University of

Newcastle-upon-Tyne

The University of Liverpool

Queen Mary and Westfield College

The University of Aberdeen

The University of Leicester

The Queen's University of Belfast

Cardiff University

The University of Dundee

The University of Strathclyde

University of Wales College of Medicine The University of York

The University of Plymouth

The University of Reading

The University of Surrey

Heriot-Watt University

The Manchester

Metropolitan University

University of Durham

St George's Hospital Medical School

London School of Hygiene

& Tropical Medicine

Cranfield University

Loughborough University

The University of Hull

The University of Huddersfield

Leeds Metropolitan University

Aston University

Coventry University

University of Central

England in Birmingham

University of Wales, Bangor

University of Wales, Swansea

The North-East Wales

Institute of Higher Education

University of the

West of England, Bristol

**Bournemouth University** 

Oxford Brookes University

The University of Kent

Buckinghamshire

Chilterns University College

Scottish Agricultural College

Glasgow Caledonian University

The Robert Gordon University

The University of Paisley

Liverpool Hope University College

The University of Lancaster

The University of Salford

The University of Teesside

The School of Pharmacy

Birkbeck College

The University of Greenwich

City University

Kingston University

London South Bank University

The University of Essex

Anglia Polytechnic University

University College Northampton

De Montfort University



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Table 1 Distribution of a sample of 67 HEIs against the population

		Population (HESA data) All UK HEIs	Sample (67 HEIs)
DISCIPLINE			
	Clinical/Bio	54%	58%
	Others	46%	42%
REGION			
REGION	East Midlands	6%	6%
	Eastern	7%	7%
	London	18%	18%
	North-East	5%	4%
	Northern Ireland	2%	1%
	North-West	9%	9%
	Scotland	13%	15%
	South-East	11%	10%
	South-West	6%	6%
	Wales	7%	7%
	West Midlands	7%	6%
	Yorkshire and the Humber	7%	9%
TEACHING VERSUS RESEARCH			
	Research only	47%	51%
	Teaching & Research	53%	49%

This sample of 67 HEIs is representative of all HEIs in terms of size, geographic location, discipline and number of staff in research only versus research and teaching. This is illustrated by the statistics in the following table.

It was later discovered that the University of Wales College of Medicine merged with the University of Cardiff in 2004/05. The University of Cardiff was included in the size band where all HEIs were selected. The University of Wales College of Medicine was selected from the smallest size band and is close to the end of the stratified sampling frame. It was therefore decided that the overall sample was not affected and no substitution was made. The total number of HEIs contacted was therefore 66.

### 2.3 Contacting universities

A letter introducing the project was prepared on Royal Society headed paper and sent to the Vice Chancellor of every selected institution, inviting them to take part in the survey. Information about the background to the project was included, the data on staff that would be required, and a consent form. Vice chancellors were requested to sign the consent form if they agreed to take part in the survey and to nominate a contact at the university for future correspondence.



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In total, 41 consent forms were returned by post and a further 9 universities confirmed their participation via telephone and/or e-mail to give a total of 50 participating institutions. These were:

Birkbeck College Buckinghamshire

Chilterns University College

Cardiff University
City University
Coventry University
De Montfort University
Heriot-Watt University

Imperial College of Science, Technology

& Medicine

King's College London Leeds Metropolitan University

London School of Hygiene & Tropical Medicine

London South Bank University Loughborough University

Manchester Metropolitan University

North-East Wales

Institute of Higher Education

Oxford Brookes University

Queen Mary and Westfield College

Queen's University of Belfast

School of Pharmacy

Scottish Agricultural College

St George's Hospital Medical School

University College London

University College Northampton

University College Northal University of Aberdeen University of Bristol University of Dundee University of Durham University of Edinburgh University of Essex University of Glasgow University of Huddersfield

University of Hull
University of Lancaster
University of Leicester

University of Liverpool

University of Manchester + UMIST

University of Newcastle-upon-Tyne

University of Nottingham
University of Paisley
University of Plymouth
University of Reading
University of Salford
University of Sheffield

University of Salford
University of Sheffield
University of Southampton
University of Strathclyde
University of Surrey

University of the West of England, Bristol University of Wales, Bangor University of Wales, Swansea

University of York

Of the 16 remaining institutions, 2 were found to be ineligible because (contrary to HESA data) they informed us that they had fewer than 50 research active staff in science subjects, 6 declined to take part because of the administrative work involved and 7 initially agreed to take part, but withdrew due to administrative difficulties.

# 2.3.1 Gathering data on eligible staff

The relevant contact at each participating institution was asked for a list of all research active staff in the eligible departments (listed in section 2.1.1 above) with their department, job title and work e-mail address. This excluded those who were teaching only or administration staff.

Universities varied significantly in their administrative capabilities with regards to organising full departmental staff lists and in their data protection policies. Where these issues arose a number of options were subsequently presented. These included giving permission for PSP to download details from public websites, providing staff names on an opt-in or opt-out basis, providing only a sample of names, or providing no names but agreeing to draw and contact their own sample. In the latter two circumstances, we requested data in advance on the overall numbers of research staff in each department, by grade where possible, and then specified the composition of the sample to be drawn based on the overall profile of all the participating institutions. Institutions provided data as follows:

- 32 provided full lists of eligible staff
- 3 gave permission for the full list to be downloaded from their websites
- 3 provided full lists, compiled on an opt-out basis
- 2 provided full lists compiled on an opt-in (these were small datasets and therefore treated as samples)
- 1 provided a sample compiled on an opt-in basis
- 5 provided a sample
- 4 agreed to draw and contact a sample independently, according to our instructions



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# 2.4 PREPARING THE SAMPLE

# 2.4.1 Disciplines

Firstly, entries were categorised into three disciplinary groups: 'clinical', 'non-clinical biomedical' and 'other'. These were defined by the following HESA cost centres:

 п	nı	ca	
		La	4.

01 Clinical medicine

02 Clinical dentistry Biomedical:

#### Biomedical:

04 Anatomy & physiology

07 Psychology & behavioural sciences

**08** Pharmacy

09 Pharmacology

03 Veterinary science

13 Agriculture & forestry

10 Biosciences

14 Earth, marine & environmental sciences

#### Other:

11 Chemistry

12 Physics

15 General sciences

**24** Mathematics

25 Information technology & systems sciences

16 General engineering

17 Chemical engineering

18 Mineral, metallurgy & materials engineering

19 Civil engineering

20 Electrical, electronic & computer engineering

21 Mechanical, aero & production engineering

22 Other technologies

39 Computer software engineering

Since university personnel returned lists of staff under departmental headings rather than by cost centre headings, we used the HEFCE publication, *Assignment of departments to academic cost centres 2001-02*, to map university departments to Cost Centres. All individuals working in subjects that did not fit into these disciplines were removed from the database. Where necessary, some further clarification was carried out using university websites to exclude individuals from sub-disciplines that had been included as part of a whole department but were not considered to be relevant (for example human geography, social psychology and some of the health sciences).

This was then reconciled with HESA data for 2003/04, which showed that the spread of disciplines was roughly consistent. On average, once cleaned, actual numbers by discipline were fewer than predicted by HESA statistics, particularly in clinical research. This finding is consistent and with a survey conducted by the Council of Heads of Medical Schools (CHMS) and the Council of Heads and Deans of Dental Schools (CHDDS), which showed that the number of clinical academics fell by 2% between 2003 and 2004, and by the Chief Medical Officer's Annual Report for 2003 which states that the number of clinical academic posts declined by 14% from 2000 to 2003.



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Table 2 Distribution of the sample by discipline group against HESA data

	CLINICAL	BIOMEDICAL	OTHER	TOTAL
Number of researchers in PSP database of 50 HEIs	9,039	11,006	12,330	32,375
Distribution	28%	34%	38%	100%
Number of researchers, HESA returns for these 50 HEIs	12,139	10,087	15,599	37,825
Distribution	32%	27%	41%	100%

#### 2.4.2 **Grade**

Individuals were then categorised into four grade levels - 'Professor', 'Senior Researcher', 'Researcher' and 'Assistant' - on the basis of their job titles. Broadly speaking, grade levels were defined as follows (although this varied by institution):

Professor	Senior Researcher	Researcher	Junior Researcher /
Professor	Reader	Researcher	Assistant
Head of Department	Manager	Academic	Post-doctoral research
Chair	Senior Researcher	Research fellow	assistant
Vice chancellor	Senior Lecturer	Lecturer	Post-doctoral researcher
Provost	Advanced Fellow	Clinical scientist	Junior research associate
Director	Group leader	Senior assistant	Junior researcher
Dean	Senior Fellow	Research associate	
	Principal Lecturer	Research officer	
	Principal Researcher		
	Senior Research Associate		
	Senior Research Fellow		
	Principal research associate		

Laboratory technicians, experimental officers and departmental administrators were removed from the database. Visiting and honorary fellows were also removed on the basis that these people may only be loosely associated with the university. This yielded an overall sample profile as follows.

Table 3 Distribution of the sample by grade

PROFESSOR SE	PROFESSOR SENIOR RESEARCHER		SENIOR RESEARCHER RESEARCHER		ASSISTANT	
Number of researchers	4,513	6,232	13,394	3,726		
Distribution	16%	22%	48%	13%		

NB some universities did not provide this data (hence lower overall numbers than in the previous table) and comparable data was not available from HESA.



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# 2.5 Drawing the sample

The resulting database was stratified by disciplinary group (clinical, biomedical, other), and within each of these three strata it was further sorted by university, department, grade and name (all in ascending alphabetical order). The number of interviews required across all three strata was 1,500. It was assumed that twice the number of leads would be necessary to obtain the number of interviews required.

It was decided to under-sample clinical scientists to match the earlier Wellcome Trust/OST survey conducted by MORI in 2000. We therefore aimed for 10% of the sample to be clinical scientists. Biomedical and Other scientists were then be sampled in the same proportions relative to each other as in the actual sample, but as a combined proportion of 90% of the sample. Table 4 below shows the target sample profile, the target number of leads, the target number of interviews and the achieved number.

Initially a total sample of 4,000 researchers was drawn, and 1 in 4 of those (1,000) were kept aside as a reserve in the event that the main sample yielded a large number of errors or insufficient responses. Sampling was conducted on a 1 in n basis. The additional 1,000 sample was not used as the main sample of 3,000 generated sufficient response.

Sampling intervals for the universities that had provided a full list of their researchers (which also included "dummy" numbers for the four universities that would be drawing and administering their own samples) were 'clinical' 1:21.34, 'biomedical' 1:6.02 and 'other' 1:6.02. The resulting samples for the four self-administering universities were then e-mailed to the relevant contact for them to complete from their own records.

For the eight universities that were only able to send a sample of researchers, a sub-sample was drawn (where necessary) on a 1 in *n* basis by university (sorted in alphabetical order by discipline, department, grade and name), such that the final numbers yielded for each university would be consistent with that university's proportion of the overall sample.



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#### 3. OTHER SAMPLES

#### 3.1 Research Council Institutes

Four Research Councils were deemed to be relevant to the study as having 'stand alone' research institutes and therefore to fund researchers who would not be included in the university sample. These were the Biotechnological and Biological Sciences Research Council (BBSRC), the Engineering and Physical Sciences Research Council (EPSRC), the Medical Research Council (MRC) and the Particle Physics and Astronomy Research Council (PPARC).

Each Research Council, co-ordinated by Research Councils UK (RCUK), was asked to provide names of scientists in each of their research institutes based outside universities. In the event, some of the contact details for scientists working in Research Council funded Institutes were provided by the relevant Research Councils, others were obtained from staff listings on Institute websites. As far as possible this list was deduplicated against the list of scientists and engineers selected for the main university sample, the Royal Society sample and the Wellcome Trust sample. [Four universities distributed the sample themselves and we were unable to deduplicate against these respondents.] This generated a list of 2566 scientists, however email addresses could not be sourced for 35, resulting in a sampling frame of 2525. The sample was stratified by Research Council, institute, grade (where known) and name (all in alphabetical order). As with the HEI sample, scientists were then sampled to provide twice the number of leads to the number of interviews required. A sample of 500 was drawn on a 1 in *n* basis.

Of the 500 researchers contacted, 19 replied that they were no longer eligible and 22 were uncontactable (emails bounced back). Hence 469 eligible questionnaires were despatched. 262 usable responses were received, a response rate of 56%.

#### 3.2 Royal Society funded researchers

The Royal Society provided a database of all its funded researchers, which constituted 484 individuals. It was decided to survey every member. Of these, 19 were found to already be in the 3,000 HEI sample. The remaining 465 were therefore approached separately in the survey. Of these, 6 had completed the university sample, 4 were uncontactable (emails bounced back), 1 replied that they were no longer eligible, 1 was a duplicate and 2 were on maternity leave. Hence 452 eligible questionnaires were despatched. 314 usable responses were received, a response rate of 69%.







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#### 3.3 Wellcome Trust funded researchers

The Wellcome Trust provided a database of 2,346 funded researchers. This database was first cleaned by removing non-researchers who are engaged in science communication activities (e.g. science museums) and then by removing all those whose contact e-mail addresses were missing. This left a population of 1,942 individuals. The sample was then sorted by institution, department and name (all alphabetically). As with the HEI sample, scientists were then sampled to provide twice the number of leads to the number of interviews required. A sample of 500 was drawn on a 1 in n basis. This was then checked against the HEI sample and duplicates were replaced with the next entry in the database.

Of the 500 researchers contacted, 1 had completed the university sample, 34 were uncontactable (emails bounced back), 3 replied that they were no longer eligible, 1 was a duplicate, 1 was no-longer funded by the Wellcome Trust, 22 had invalid addresses and 2 were undeliverable. Hence 436 eligible questionnaires were despatched. 243 usable responses were received, a response rate of 56%.



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#### 4. FIELDING THE SURVEY

The survey was fielded in the week beginning 5th September 2005. This date was chosen because it was assumed to a relatively quiet period when researchers would be returning from summer vacations but would not yet be engulfed in the full throws of university term time. Participants were sent an e-mail inviting them to take part in the survey, with a hyperlink, containing a unique identifier, to an Internet-based version of the questionnaire. Introductory e-mails were tailored according to whether the participant was part of the main university sample, the Research Council sample, the Royal Society sample or the Wellcome Trust sample.

Two reminders were also sent by e-mail to non-responders in the main university sample. These were sent on 22 September 2005 and 13 October 2005 and on 4 November 2005 notice of closure was sent to non-responders. The survey closed for responses on 14 November.

Only one reminder was sent to the Royal Society, Research Council and Wellcome Trust samples.

#### **4.1 Spam**

E-mails were sent out in batches in an attempt to mitigate against institutional servers rejecting them as spam. The main contact at each institution was also sent advance notice of when e-mails would arrive to forewarn their IT departments. Some universities also chose to send notices round to employees informing them of the e-mail's arrival. Where a lower than average response rate and a large number of "bounced" messages were observed from a particular institution in the first week of the survey, the main contacts were notified and some subsequently sent further e-mails to participants advising them that the message was legitimate.

### 4.2 Ineligibles

Errors in some institutional records resulted in a number of e-mails being undeliverable and bounced back by institutional servers. These records were dealt with in two steps. Firstly, records were scrutinised to identify misspellings or errors in the individual's e-mail address and these were corrected. Secondly, if no errors were found, an attempt was made using university and other academic websites to find alternative e-mail addresses for each contact. Invitations were then resent to contacts using the corrected e-mail addresses.

Where these e-mails were bounced back a second time and/or where no alternative e-mail address was found, the respondent was assumed either to have left the institution and/or not to have been accessible. These respondents were therefore assumed to be ineligible and were not included in the final response rate. Respondents who were out of the office and not receiving work e-mail for longer than the survey was due to be running (for example if an auto response message stated they were on maternity leave) were also classified as ineligible. A number of participants also replied directly to the invitation stating that they were not eligible, either because they had moved on, retired or did not work in a relevant field or position.



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# **4.3 Response rate**

# **Table 4 Response rate**

SAMPLE ISSUED	3,000
Ineligible, based on information from respondent	14
Could not be reached, email 'bounced'	41
III*	3
On maternity leave*	3
On long term leave/sabbatical*	3
Retired*	5
No longer working at HEI*	7
Failed delivery notice at first stage	15
Failed delivery notice at first reminder	20
Failed delivery on closure	5
Eligible questionnaires	2,882
Usable responses	1,485
Response rate	52%

<sup>\*</sup>This was determined by automatic email responses, other potential respondents may have fallen into these groups but not informed us. In addition, other addresses may have been defunct but not 'bounced'.

**Table 5 All scientists - sample profile** 

	CLINICAL	BIOMEDICAL	OTHER	TOTAL
No. researchers (HESA)	12,139 27%	10,087 41%	15,599 100%	37,325
No. researchers (actual)	9,039	11,006	12,330	32,375
28%	34%	38%	100%	
Target leads	300	1,303	1,397	3,000
10%	43%	47%	100%	
Target interviews	150	651	699	1,500
10%	43%	47%	100%	
Achieved interviews	110	574	796	1480*
7%	39%	54%	100%	

<sup>\*</sup>Five respondents did not provide sufficient information to enable classification.



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4.4 Weighting

Rim weighting was applied to the data to ensure that the demographic profile of the survey respondents matched that of the target universe. Target profiles were set for four variables: academic employment function, gender, ethnic group and grade based on data from the Higher Education Statistics Authority (HESA). The Snap SurveyPlus Rim Weighting program was then run, which automatically applied a weight to each respondent in order to achieve the target demographic profile.

The table below shows the demographic profile of the achieved sample before and after weighting:

**Table 6 Weighting** 

	Unwei	ighted	Wei	ghted
	No.	%	No.	%
ACADEMIC EMPLOYME	NT			
Clinical	110	7%	384	26%
Non-clinical bio	568	38%	414	28%
Other	800	54%	680	46%
Total	1478	100%	1478	100%
GENDER				
Male	1078	73%	970	66%
Female	392	27%	500	34%
Total	1470	100%	1470	100%
ETHNIC GROUP				
White	1306	91%	1079	75%
Non-white	133	9%	360	25%
Total	1439	100%	1439	100%
GRADE				
Senior	734	50%	455	31%
Junior	734	50%	1013	69%
Total	1468	100%	1468	100%



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- <Title>
- <Address 1>
- <Address 2>
- <Address 3>
- <Address 4>

From the Treasurer and Vice-President Sir David Wallace CBE FRS 23 May 2005

Dear Colleague,

### Factors affecting science communication: a survey of scientists

I am writing to invite your University to take part in a study by the Royal Society, Research Councils UK and the Wellcome Trust to examine the factors affecting science communication by UK scientists. The overall aim of the study is to promote better understanding between science and society which will, amongst other things, make a contribution to the flow of young people into science and engineering.

The study will comprise a web-based survey and telephone interviews with UK scientists to examine individuals' behaviour and attitudes to science communication. Its purpose is to provide evidence to funding organisations, universities and other research institutions on which they can base a workable system to reward scientists for their efforts to engage with the public. The study will be overseen by a consultative group of which I am chair. More details on the study are enclosed.

The survey and interviews are being undertaken on behalf of the Society by People Science and Policy Ltd (PSP). I hope that you will be able to join us in this important work and agree to your University helping PSP to select a representative sample of your scientists and engineers for the study. I would like to invite you to nominate a contact that can supply PSP with the names and work email addresses of these staff. I must emphasise that all individual responses will be treated in the strictest confidence.

If you are willing for your University to take part, please complete the enclosed consent form and return it in the reply paid envelope to *Dr Suzanne King, People Science & Policy Ltd, Hamilton House, Mabledon Place, London, WC1H 9BB.* If you have any queries or would like to discuss this further please contact *Dr King* on 020 7554 8636 or Dr Darren Bhattachary, manager of our science in society work at the Society on 020 7451 2566 or email *darren.bhattachary@royalsoc.ac.uk* 

Yours sincerely

Daied Wallow



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# Factors affecting science communication: a survey of scientists

#### **Funders**

- The Royal Society, Research Councils UK and the Wellcome Trust.
- The survey is being undertaken on behalf of the funders by People Science & Policy Ltd (PSP).

#### **Aim**

The study will examine the factors affecting science communication by scientists and will provide evidence to support the development of strategies to encourage scientists and engineers to communicate with stakeholders including the public, policy makers and media.

The findings will provide an understanding of:

- the relative importance of science communication to UK researchers;
- the amount and type of science communication activities undertaken by UK researchers;
- factors that may facilitate or inhibit science communication;
- the extent to which researchers may wish to undertake further science communication;
- the views of funders, senior academics, social scientists and other relevant groups on factors affecting research scientists engaging in science communication activities; and
- how universities, other research institutions and funders can promote effective science communication.

#### Consultative group

The study will be overseen by a consultative group chaired by Sir David Wallace FRS, and comprise senior representatives from organisations including the Royal Society, Research Councils UK, the Wellcome Trust, the Higher Education Funding Councils, Universities UK, the British Association for the Advancement of Science, the Academy of Social Sciences and the British Academy.



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#### The Sample

Some 65 higher education institutions plus Research Council institutes have been selected to generate a total sample of 1,500 scientists and engineers. This sample will be drawn to be representative of all scientists and engineers employed in these institutions.

#### Fieldwork and outputs

The survey will take approximately 15 minutes to complete and the fieldwork will take place between September and December 2005. A final report will be published in February 2006.

#### **Contacts**

Dr Suzanne King, People Science & Policy Ltd, Hamilton House, Mabledon Place, London, WC1H 9BB. Telephone: 020 7554 8636. Email: Suzanne.king@peoplescienceandpolicy.com

Dr Darren Bhattachary, Royal Society, 6-9 Carlton House Terrace, London, SW1Y 5AG. Telephone: 020 74512566. Email: darren.bhattachary@royalsoc.ac.uk



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#### **DATA FORMAT**

If your institution is willing to participate we would require the following information from you:

• Names of research scientists and their grade listed by Department e.g.:

NAME OF DEPARTMENT	NAME OF RESEARCH SCIENTIST	GRADE	EMAIL
Biology	John Smith	Professor	
Biology	F. Brown	Reader	
Biology	J. K. Green	Lecturer	
Biology	Ann White	Lecturer	
Institute of Biomedical Engineering	Graham Jones	Professor	
Institute of Biomedical Engineering	S. Wilson	Senior Lecturer	
Institute of Biomedical Engineering	C. Clarke	Lecturer	

• We would like a list of for all the staff who are working in the disciplines covered by:

#### Medicine, Dentistry and Health

Clinical medicine
Clinical dentistry
Anatomy & physiology
Psychology & behavioural
sciences
Pharmacy
Pharmacology

#### Agriculture, Forestry and Veterinary Science

Veterinary science Agriculture & forestry

# Biological, Mathematical and Physical Sciences

Biosciences
Chemistry
Physics
Earth, marine & environmental sciences
General sciences
Mathematics

Information technology & systems sciences

#### **Engineering and Technology**

General engineering
Chemical engineering
Mineral, metallurgy & materials
engineering
Civil engineering
Electrical, electronic & computer
engineering
Mechanical, aero & production
engineering
Other technologies
Computer software engineering







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- Please exclude from your list, staff who are teaching only staff and do not have any research responsibilities.
- We would prefer to receive your lists electronically e.g. in an Excel file or ASCII text, which can be saved onto a disk and posted or e-mailed to: If this is not possible, we would of course accept a paper list.
- Please send us the staff lists by 8 July 2005.



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# Factors affecting science communication - consent form

I agree to my University participating in the 'Factors affecting science communication: a survey of scientists' study by the Royal Society, Research Councils UK and the Wellcome Trust. The University will help People Science & Policy Ltd to select a representative sample of its science and engineering staff and provide the names and work email addresses of this sample to PSP. I understand that PSP will hold these details in the strictest confidence.

I nominate the person named below as PSP's contact at this University.

NB This must be someone who can access complete staff lists for sampling purposes and who can provide names

and email addresses for selected staff to PSP.
PLEASE PRINT
Name
Position
Telephone
E-Mail
The questionnaire will be distributed by email by PSP. In order to alert potential respondents to the survey, it would help if your office or the selected contact would send an email to staff on 5th September 2005 informing them of the survey and its importance. If you would be willing to do this, PSP will provide a draft email for the University to send.
PLEASE TICK ONE BOX
I AM willing for an email to be sent to relevant staff  I AM NOT willing for an email to be sent to relevant staff  □
The Royal Society would like to name your University in the report as having participated in the survey. No results would be attributable to individuals or to your institution.
PLEASE TICK ONE BOX
I AM willing for our University to be named as having participated in the survey  I AM <b>NOT</b> be willing for our University to be named as having participated in the survey
Name
Position
University
E-Mail
Telephone
Signature
Date



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### **Introductory e-mails**

#### **HEI sample**

Dear "Title" "First\_name" "Last\_name",

As you may have heard, the Royal Society, in partnership with the Research Councils and the Wellcome Trust, is conducting a survey of research scientists across the UK. People Science & Policy Ltd has been appointed to undertake the survey. I hope that you will take this opportunity to let research funders know what you really think. It should only take 10-15 minutes.

The purpose of the project is to obtain your views on why you do, or do not, take part in science communication activities. The results will help to understand the role communicating science plays in a scientific career, and research funders and Government will be developing their thinking on science communication based on this evidence.

You have been selected using a rigorous sampling procedure to ensure that the findings are statistically representative of all scientists and engineers working in academic research in the UK. So it is important that you personally respond. Please do not forward this questionnaire to anyone else.

The hyperlink below takes you to your own copy of the questionnaire. Copy and paste it into the address bar of your web browser if it does not work directly. You can scroll to the end and click 'save' if you have to stop in the middle and want to finish the questionnaire later. Once you have submitted your questionnaire it cannot be accessed again.

Your responses will be treated in the strictest confidence. Only those involved in processing the data at PSP will know what individuals have said. The report will only contain aggregate or anonymised results.

# "Hyperlink"

Thank you very much for your help with this. I look forward to receiving your questionnaire. If you have any difficulties please contact me at the address below or by replying to this email.

Yours.

Dr Suzanne King

#### Director

People Science & Policy Ltd Hamilton House, Mabledon Place, London WC1H 9BB Direct line: 020 7554 8638

www.peoplescienceandpolicy.com

#### Company registration no. 3891609

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#### **Royal Society, Wellcome Trust and Research Council samples**

Dear "Title" "First\_name" "Last\_name",

The "leading organisation", and "other organisations" have commissioned People Science and Policy (PSP) to conduct a survey of research scientists across the UK. Participation in the survey is optional but as a Royal Society funded researcher, the Royal Society would very much like to know your views on why you do, or do not, take part in science communication activities. The results will help to understand the role that communicating science plays in a scientific career, and research funders and Government will be developing their strategies on science communication taking account of this evidence. The questionnaire should only take 10-15 minutes to complete.

You have been selected as a "leading organisation" funded researcher, so it is important that you personally respond. Please do not forward this questionnaire to anyone else. If you have already received this questionnaire and have chosen not to respond or have already done so, we apologise for troubling you.

The hyperlink below takes you to your own copy of the questionnaire. You can copy and paste it into the address bar of your web browser if it does not work directly. You can scroll to the end and click 'save' if you have to stop in the middle and want to finish the questionnaire later. Once you have submitted your questionnaire it cannot be accessed again.

Your responses will be treated in the strictest confidence. Only those involved in processing the data at PSP will know what individuals have said. The report will only contain aggregate or anonymised results.

The survey has been developed in conjunction with the "other organisations" and the project is overseen by a consultative group comprising senior figures in academia, HEFCE, UUK and the sponsoring bodies.

#### "Hyperlink"

Thank you very much for your help with this. If you have any difficulties please contact me at the address below or by replying to this email.

Yours

Dr Suzanne King

#### **Director**

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# **Factors affecting science communication:**

#### a survey of scientists and engineers

There are increasing calls for scientists and engineers to engage with the public and to discuss their research with those outside their field. The Royal Society, the Wellcome Trust and the Research Councils want to know what you think about this. Is this a good use of your time? If so, how can you be supported? If not, it is still important that your views are heard because they will impact on policy decisions.

Towards the end of the questionnaire you will be asked some questions about yourself so that we can compare the results for different groups.

You have been selected using robust sampling procedures and it is important that you personally reply. Your replies will be treated in the strictest confidence. Nothing any individual says will be attributed in the final report or passed on to the funders or anyone else. People Science & Policy Ltd has been appointed to undertake this survey by the funders.

Q1		entists are being asked to engage more with the non-sp at, if anything, does this mean to you? PLEASE WRITE IN	ecialist	public.					
Q2	Properties that you personally, in your current post, directly engage with each of the following groups about your research? Please rate importance on a scale of 1 to 5, where 1 is not important and 5 is very important								
			Not impor				/ery important		
			1	2	3	4	5		
	<b>a</b> ) (	General journalists (i.e. in press, TV and radio)							
	b) F	Popular science journalists (e.g. on New Scientist)							
	•	Others in the media such as writers, documentary and other programme makers							
	<b>d)</b> S	Schools and school teachers							
	<b>e</b> ) Y	oung people outside school							
	f) F	Policy-makers							
	•	ndustry/business community other than where directly concerned with funding your rese	arch)						
	h) T	The non-specialist public							
	i) N	Non-Governmental organisations (NGOs)							
Q3	Whi	ich of these groups do you find it easiest to talk with ab	oout you	r research fi	ndings?				
		Policy-makers		School teach	iers				
		Industry/business community		Young people in schools					
		Popular science journalists (e.g. on New Scientist)		Young people outside school					
		General journalists (i.e. in press, TV and radio)		The non-spe	cialist publ	ic			
		Others in the media such as writers,		(NGOs) Non	-Governme	ental orga	nisations		
		documentary and other programme makers		Patients/patients	ent groups				
	Ш	Press officers in universities		None/don't k	now				

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Q5	Whi	ich of these groups do you find it hardest	to talk with a	about you	r research finding	gs?	
		Policy-makers Young people in schools Industry/business community Young people outside school Popular science journalists (e.g. on New Science non-specialist public General journalists (i.e. in press, TV and rates)	,	Off	on-Governmental of thers in the media locumentary and of atients/patient ground ress officers in uni- one/don't know chool teachers	such as wr other progra	iters,
Q6	Why	do you say that? PLEASE WRITE IN					
Q7		nking about public engagement with, and t 12 months have you done each of the fo		on about,	science, roughly	/ how man	y times in the
	•	Vorked with teachers/schools including writing educational materials)	None	Once	2-3 times	4-5 times	More than 5 times
	b) F	Participated in an institutional open day					
	•	Given a public lecture, ncluding being part of a panel					
	d) T	aken part in a public dialogue event/debate					
	e) E	Been interviewed on radio					
	f) E	Been interviewed by a newspaper journalist					
	٠,	Vritten for the non-specialist public including for the media, articles and books)					
	h) E	Engaged with policy-makers					
	•	Engaged with non-Governmental organisations (NGOs)					
	<b>j)</b> V	Vorked with science centres/museums					
	<b>k)</b> J	ludged competitions					

For the remainder of the questionnaire, we will be talking about communication and engagement with the non-specialist public only. By this we mean adults with no specialist knowledge of, or training in, science.





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Q8 How important do you think it is that you personally, in your current post, engage directly with the non-specialist adult public on each of the following? Please rate importance on a scale of 1 to 5, where 1 is not important and							
	5 is very important	Not important 1	2	3	4 V	ery importan	nt 5
	a) The scientific findings of your research						
	b) Areas for further research						
	c) Policy and regulatory issues						
	<b>d)</b> The wider social and ethical implications of your research findings for society						
	<ul> <li>e) The potential benefits of your work individuals</li> </ul>						to
	f) The scientific process/the nature of science	ce 🔲					
	g) Scientific uncertainty						
	h) The enjoyment and excitement of doing science						
	i) The relevance of science to everyday life						
	<ul> <li>j) To raise awareness of career options science</li> </ul>						in
Q9	Looking at the list below, what do you thin engineers generally to engage with the no			scientists and			
	☐ To be accountable for the use of public fu	ınds					
	☐ To contribute to public debates about scie	ence and scie	ntific issues				
	☐ To contribute to discussions about the so	cial and ethica	al issues scien	ice can raise			
	☐ To generate/stimulate additional funds for	universities a	and colleges				
	☐ To recruit students to your subject		· ·				
	☐ To ensure the public is better informed ab	out science a	nd technology	/			
	☐ To raise awareness about your subject						
	☐ To raise awareness of science generally						
	☐ There are no reasons to engage with this	group (GO T	O QUESTION	11)			
	Other, PLEASE SPECIFY						
Q10	Looking at the list below, what do you thing generally to engage with the non-specialise.		ond most imp	oortant reason	for scientists a	nd engine	eers
	☐ To be accountable for the use of public fu	ınds					
	☐ To contribute to public debates about scient	ence and scie	ntific issues				
	☐ To contribute to discussions about the so	cial and ethica	al issues scien	ice can raise			
	☐ To generate/stimulate additional funds for	universities a	and colleges				
	☐ To recruit students to your subject						
	☐ To ensure the public is better informed ab	out science a	nd technology	/			
	☐ To raise awareness about your subject						
	☐ To raise awareness of science generally						
	☐ There are no other reasons to engage wi	th this group					
	Other PLEASE SPECIEY						



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Q11 Looking at the list below, what do you think is the main drawback to scientists and engineers generally engaging with the non-specialist public?
☐ It makes them look bad in front of their peers
☐ It makes them a target
☐ It can send out the wrong messages
☐ It diverts money from research projects
☐ It diverts money from other, non-research, activities
☐ It takes up time that is better used on research
☐ It takes up time that is better used on other, non-research, activities
☐ There are no drawbacks to engaging with any of these groups (GO TO QUESTION 13)
Other, PLEASE SPECIFY
Q12 Looking at the list below, what do you think is the second main drawback of scientists and engineers generally engaging with the non-specialist public?
☐ It makes them look bad in front of their peers
☐ It makes them a target
☐ It can send out the wrong messages
☐ It diverts money from research projects
☐ It diverts money from other, non-research, activities
☐ It takes up time that is better used on research
☐ It takes up time that is better used on other, non-research, activities
☐ There are no other drawbacks to engaging with any of these groups
Other, PLEASE SPECIFY
Q13 In relation to the other things you have to do in your working life, how important is it to you that you find time to engage with the non-specialist public?
□ Not at all important
□ Not very important
☐ Equally important
☐ Fairly important
□ Very important
Q14 Would you like to spend more time, less time or about the same amount of time as you do now engaging with the non-specialist public about science?
☐ I would like to spend more time (GO TO QUESTION 15)
☐ I am content with the amount of time I spend on this now (GO TO QUESTION 16)
☐ I would like to spend less time (GO TO QUESTION 16)
☐ Don't know (GO TO QUESTION 16)

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Q15 W	hy do you say that?						
	I work in a topical area of science						
	I work in a controversial area of science						
	There is a need to recruit more students						
	Scientists and engineers need to be more	e accountable					
	Scientists and engineers should engage	more with the	communi	ty			
	Other, PLEASE SPECIFY						
	elow are some things people have said and againeering. Please indicate whether you		_			bout science	e and
		Strongly Agree	Agree	Neither	Disagree	Strongly Disagre	eDon't know
a)	Scientists who communicate a lot are not well regarded by other scientists						
b)	Engaging with the non-specialist public might help researchers make new contacts for their research						
c)	Funders of scientific research should help scientists to communicate with the non-specialist public						
d)	Scientists have a moral duty to engage with the non-specialist public about the social and ethical implications of their research						
e)	I don't think my research is interesting to the non-specialist public						
f)	The main reason to engage with the non-specialist public is to get their support for science and engineering	t					
g)	I simply don't have time to engage with the non-specialist public						
h)	I would not want to be forced to take a public stance on the issues raised by my research						
i)	Engagement with the non-specialist public is best done by trained professionals and journalists	c 🗆					
j)	Engaging the non-specialist public in science is personally rewarding						
k)	My research is too specialised to make much sense to the non-specialist public						
I)	I would need help to develop a science engagement project						



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	Strongly Agree	Agree	Neither	Disagree	Strongly Disagree	Don't know
m) I would be happy to take part in a science	e 🔲					
<ul> <li>Public engagement could help with my career engagement activity that was organised by someone else</li> </ul>						
<ul> <li>e) Engaging with the non-specialist public is best done by senior researchers</li> </ul>						
p) There are no personal benefits for me in engaging with the non-specialist public						
Q17 How easy or difficult do you think it is to who want to do so?	get involved	in scienc	e engagement	activities f	or those	
☐ Very easy						
☐ Fairly easy						
☐ Fairly difficult						
☐ Very difficult						
☐ Don't know/can't say						
Q18 How well equipped do you personally fee your research?	l you are to e	engage wi	th the non-spe	cialist pub	lic about	
☐ Very well equipped						
☐ Fairly well equipped						
☐ Not very well equipped						
☐ Not at all equipped						
☐ Don't know						
Q19 What training, if any, have you had in con Do not include any teaching training you	_		o the non-spec	ialist publi	c?	
None						
☐ Media training on being interviewed by jo	ournalists					
☐ Training in writing for the non-specialist p	oublic					
☐ Training in speaking to the non-specialist	public					
☐ Training in understanding the UK school	education sys	stem				
☐ Training in speaking to school children (o	of any age)					
Other] Informal means / experience						
Other PLEASE SPECIFY						

Q20 What would encourage you personally to get involved in activities that engage the non-specialist public in science?



Q21 To what extent would you personally be encouraged to get more involved in activities to engage the non-

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s	cialist public in science and engineering by each of the following?						
		A great deal	To some extent	Not very much	Not at all	Don't know	
а	) If my head of department/line manager were to give me more support and encouragement						
b	) If there were awards and prizes for me were to give me more support and as an individual						
С	) If it was part of getting professional status such as chartered engineer or membersh of my professional body						
d	) If it helped with my own career						
е	) If I was relieved of other work						
f)	If the RAE exercise was changed to encompass communication with the non-specialist public						
g	) If my department or institution was recognised by an award or prize						
h	) If it brought money into my department						
i)	If it was easier for me to get funds for engagement activities						
j)	If grants for engagement covered staff time as well as other costs						
k	) If it was easier to organise such activities						
I)	If I had some (more) training						
	What is stopping you from getting (more)	involved in a	activities that er	ngage the non-s	pecialist pu	blic in	
	I am already involved enough		☐ Ther	e is not enough	funding		
	☐ I am too junior		☐ I nee	ed to spend more	time on my	research	
	I am only in the UK for a limited period		☐ I nee	ed to spend more	time teachir	ng	
	☐ English is not my first language		☐ I nee	ed to spend more	time on adr	ninistration	
	I feel that I am encroaching on Press Off	ice work	☐ I nee	ed to spend more	e time getting	funding	
	There is no senior level support		for n	ny research			
	Peer pressure		☐ I wo	uld have to do it	in my own tir	me	
	Other PLEASE SPECIFY		☐ I just	don't want to			



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Q23 Do other members of your department take part in activities that engage the non-specialist public in science?
☐ Yes, most of them
Yes, some of them
Yes, one or two of them
□ None of them
□ Don't know
Q24 Are the researchers in your department generally supportive towards those who take part in activities that engage the non-specialist public in science?
☐ Yes, very supportive
Yes, fairly supportive
☐ Not particularly supportive
☐ Not at all supportive
☐ Don't know
Q25 Is your institution generally supportive towards researchers who take part in activities to engage the non-specialist public in science?
☐ Yes, very supportive
☐ Yes, fairly supportive
☐ Not particularly supportive
☐ Not at all supportive
☐ It varies between departments
☐ Don't know
In order for us to understand the views of different types of respondent, please tell us something about yourself. All replies will be treated in the strictest confidence.
Q26 Which of these best describes your current position?
Professor or above
Reader/senior lecturer/researcher/fellow
Lecturer/researcher/fellow
☐ Junior/assistant researcher/fellow
☐ Technician/other support staff
Q27 Working status
☐ Working full-time (>35 hours per week)
☐ Working part-time (<35 hours per week)
Q28 Which best describes your main role at your institution?
Research (including clinical research)
Research and teaching
☐ Teaching only
☐ Clinical work only
Management/administration

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Wholly or principally funded by another charity

Other principal source of funding, PLEASE SPECIFY

☐ Wholly or principally funded by industry

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Q29 From the list below, which discipline most closely describes your current area of research interest? ☐ Clinical medicine (including dentistry) ☐ Non-clinical bioscience (including medical, psychology, veterinary, agricultural) ☐ Engineering/engineering sciences (including IT) ☐ Chemical/chemical engineering Physics (including materials sciences) and astronomy ■ Mathematics ☐ Environmental sciences (including earth and marine sciences) Other PLEASE SPECIFY Q30 Do you think your work has implications for society and/or policy-makers and regulators? Yes □No Don't know/not sure Q31 What was the latest RAE score for your department/unit of assessment?  $\square_2$  $\square_3$  $\square_4$  $\square_5$ **5**\* Don't know Q32 What is the principal source of funding for your research? ☐ Wholly or principally funded by a Research Council Wholly or principally funded by a Government Department Wholly or principally funded by a Higher Education Funding Council Wholly or principally funded by an EU research grant Wholly or principally funded by The Wellcome Trust ☐ Wholly or principally funded by the Royal Society



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Q33 Which council is funding your research?					
□BBSRC					
□MRC					
□NERC					
□EPSRC					
□PPARC					
□ESRC					
□AHRB/AHRC					
	orking in scientific research, whether in academia or nore than six months but less than a year enter 1.				
Q35 What was your age last birthday?					
Q36 Are you:					
Male					
Female					
Q37 What is your ethnic origin?  ☐ White - UK	□ Black - US				
☐ White - Europe	Black - Other				
☐ White - US	Chinese				
White - Other	□Indian				
Black - African	Pakistani				
Black - Caribbean	Other Asian				
Black - UK	Mixed race				
Other, PLEASE SPECIFY					
Q38 Is English your first language?					
Yes					
□No					
Q39 Do you intend to work in the UK in the long ter	m?				
Yes					
□No					
☐ Don't know					

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Thank you for giving up your time to complete this survey. Your views will be treated in confidence, and we will not pass individual comments back to the Royal Society, the Wellcome Trust or Research Councils UK. Over the coming months, we would like to talk to some respondents to this survey in more depth about their views. If you are willing to be contacted by People Science & Policy Ltd. for a short interview by telephone or in person please enter your contact details below.

Q4	Q40 Please provide us with the following contact details				
	Your name				
	Your telephone number				
	Your e-mail				
	Thank you very much for your help. Please press "Submit" to send us your responses.				